

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

217895US-2S DIV

First Inventor or Application Identifier

TOYOKI TAGUCHI

Title

SEMICONDUCTOR LASER APPARATUS, INFORMATION
RECORDING/REPRODUCTION APPARATUS...

Assignee Name

Assignee Address:

1-9971 U.S. PTO
10/03/02

01/08/02

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. ☒ Specification

Total Sheets **58**

3. ☒ Drawing(s) (35 U.S.C. 113)
(Formals)

Total Sheets **8**

4. ☒ Oath or Declaration

Total Pages **2**

- a. ☐ Newly executed (original or copy)
b. ☒ Copy from a prior application (37 C.F.R. §1.63(d))
(for continuation / divisional w/ box 16 completed)
i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in
the prior application, see 37 C.F.R. §1.63(d)(2) and
1.33(b).
5. ☐ CD-ROM or CD-R in duplicate, large table or Computer
Program (Appendix)
6. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. Specification or Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ Paper
c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers were recorded at Reel no. 9831,
Frame no. 0182
8. ☒ Application Data Sheet. See 37 CFR 1.76
9. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure
Statement (IDS)/PTO-1449 ☐ Copies of IDS
Citations (
12. ☒ Preliminary Amendment
13. ☒ White Advance Serial No. Postcard
14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. ☐ Applicant claims small entity status.
See 37 CFR 1.27
16. ☒ Other: Request for Priority, Letter Requesting
Approval of Drawing Changes marked in red
with 2 attachments

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application no.: 09/267,136
Prior application information: Examiner: Leung, Q. Group Art Unit: 2881

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is
considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon
when a portion has been inadvertently omitted from the submitted application parts.

18. Amend the specification by inserting before the first line the sentence:

- ☒ This application is a ☐ Continuation ☒ Division ☐ Continuation-in-part (CIP)
of application Serial No. 09/267,136 Filed on 03/11/99
☐ Which was published in English
☐ Which was not published in English
☐ This application claims priority of provisional application Serial No. Filed

19. CORRESPONDENCE ADDRESS



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(703) 413-3000

FACSIMILE: (703) 413-2220

Name:	Gregory J. Majer - Attorney of Record	Registration No.:	25,599
Signature:		Date:	1/7/02
Name:	Raymond F. Cardillo, Jr.	Registration No.:	40,440

Docket No. 217895US-2S DIV

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) TOYOKI TAGUCHI, ET AL.

SERIAL NO: NEW DIV APPLICATION

FILING DATE: HERewith

FOR: SEMICONDUCTOR LASER APPARATUS, INFORMATION RECORDING/REPRODUCTION
APPARATUS AND IMAGE RECORDING APPARATUS

FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	14 - 20 =	0	× \$18 =	\$0.00
INDEPENDENT CLAIMS	3 - 3 =	0	× \$84 =	\$0.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$280 =	\$0.00
<input type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$0.00
BASIC FEE				\$740.00
TOTAL OF ABOVE CALCULATIONS				\$740.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
TOTAL				\$740.00

Please charge Deposit Account No. 15-0030 in the amount of

A duplicate copy of this sheet is enclosed.

A check in the amount of **\$740.00** to cover the filing fee is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030.
A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT P.C.

Gregory J. Maier
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Date: 1/7/02



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DOCKET NO.: 217895US-2S DIV

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF: :
TOYOKI TAGUCHI, ET AL. : EXAMINER:
SERIAL NO: NEW DIV APPLICATION :
FILED: HERewith : GROUP ART UNIT:
FOR: SEMICONDUCTOR LASER :
APPARATUS, INFORMATION
RECORDING/REPRODUCTION...

LETTER REQUESTING APPROVAL OF DRAWING CHANGES

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Please review for approval the proposed changes shown in RED on the attached photocopies of the drawings.

Once these changes have been reviewed and approved by the Examiner in charge of this case, instructions for their implementation will be forwarded to an approved bonded draftsman.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT P.C.


Gregory J. Maier

Attorney of Record

Registration No: 25,599

Raymond F. Cardillo, Jr.

Registration No: 40,440



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